



30163

PATENT TRADEMARK OFFICE

PTO/SB/21 (12-97)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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| | | | |
|---|-----------------------------|-------------------------------|-----------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 10/812,853 | |
| | Filing Date | 3/30/2004 | |
| | First Named Inventor | Paul, Susanne A. | |
| | Group Art Unit | 2817 | |
| | Examiner Name | | |
| Total Number of Pages in This Submission | 6 | Attorney Docket Number | SIL.P0076 |

ENCLOSURES (check all that apply)

| | | |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input checked="" type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Reply | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert a Provisional Application | <input type="checkbox"/> Status Letter |
| <input checked="" type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | - Postcard |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request of Refund | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application | Remarks | |
| <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

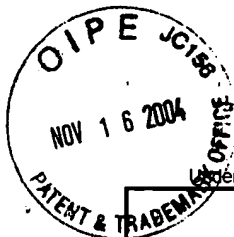
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|--------------------------------|--|
| Firm or Individual name | Johnson & Associates Bruce A. Johnson |
| Signature | |
| Date | 11-13-04 |

CERTIFICATE OF TRANSMISSION/MAILING

| | | | |
|---|------------------|-------------|----------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. | | | |
| Typed or printed name | Bruce A. Johnson | | |
| Signature | | Date | 11-13-04 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time your are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/17 (09-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT \$1,900.00

Complete if Known

| | |
|----------------------|-----------------|
| Application Number | 10/812,853 |
| Filing Date | 3/30/2004 |
| First Named Inventor | Susanne A. Paul |
| Examiner Name | |
| Group Art Unit | 2817 |
| Attorney Docket No. | SIL.P0076 |

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number
Deposit Account Name

- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27

☒ Payment Enclosed:

☐ Check ☒ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|-----------|
| 101 | 790 | 201 | 395 | Utility filing fee | \$790 |
| 106 | 340 | 206 | 170 | Design filina fee | |
| 107 | 490 | 207 | 245 | Plant filina fee | |
| 108 | 750 | 208 | 375 | Reissue filina fee | |
| 114 | 160 | 214 | 80 | Provisional filina fee | |
| SUBTOTAL (1) | | | | | \$ 790.00 |

2. EXTRA CLAIM FEES

| | | | | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------------------------|----------------|--------------------------------|--|-----------------------------------|---------------------------------------|
| Total Claims | <input type="text" value="0"/> | -20**= | <input type="text" value="0"/> | X | <input type="text" value="\$18"/> | = <input type="text" value="\$0.00"/> |
| Independent Claims | <input type="text" value="0"/> | -3**= | <input type="text" value="0"/> | X | <input type="text" value="\$88"/> | = <input type="text" value="\$0.00"/> |
| Multiple Dependent | | | | | <input type="text"/> | = <input type="text"/> |
| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | | |
| 103 | 18 | 203 | 9 | Claims in excess of 20 | | |
| 102 | 88 | 202 | 44 | Independent claims in excess of 3 | | |
| 104 | 270 | 204 | 135 | Multiple dependent claim, if not paid | | |
| 109 | 80 | 209 | 40 | ** Reissue independent claims over original patent | | |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent | | |
| SUBTOTAL (2) | | | | | | <input type="text" value="\$0.00"/> |

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|------------------------------------|-----------------|----------------|-----------------|--|----------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | \$130 |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | \$0 |
| 139 | 130 | 139 | 130 | Non-English specification | \$0 |
| 147 | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | \$0 |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | \$0 |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | \$0 |
| 115 | 110 | 215 | 55 | Extension for reply within first month | \$0 |
| 116 | 430 | 216 | 215 | Extension for reply within second month | \$0 |
| 117 | 980 | 217 | 490 | Extension for reply within third month | \$980 |
| 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | \$0 |
| 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | \$0 |
| 119 | 310 | 219 | 155 | Notice of Appeal | \$0 |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | \$0 |
| 121 | 270 | 221 | 135 | Request for oral hearing | \$0 |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | \$0 |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | \$0 |
| 141 | 1,240 | 241 | 620 | Petition to revive - unintentional | \$0 |
| 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | \$0 |
| 143 | 440 | 243 | 220 | Design issue fee | \$0 |
| 144 | 600 | 244 | 300 | Plant issue fee | \$0 |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | \$0 |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | \$0 |
| 126 | 240 | 126 | 240 | Submission of Information Disclosure Stmt | \$0 |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | \$0 |
| 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | \$0 |
| 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | \$0 |
| 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | \$0 |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | \$0 |
| Other fee (specify) _____ | | | | | \$0 |
| * Reduced by Basic Filing Fee Paid | | | | | |
| SUBTOTAL (3) | | | | | \$1110.0 |

SUBMITTED BY

| Name (Print/Type) | Bruce A. Johnson | Registration No. (Attorney/Agent) | 37361 | Telephone | 512-301-9900 |
|-------------------|------------------|-----------------------------------|----------|-----------|--------------|
| Signature | | Date | 11-13-04 | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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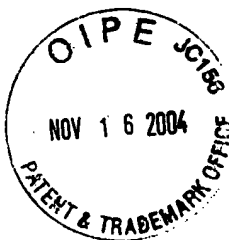


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 Alexandria, Virginia 22313-1450
 www.uspto.gov

| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/812,853 | 03/30/2004 | Susanne A. Paul | SIL.P0076 |

30163
 JOHNSON & ASSOCIATES
 PO BOX 90698
 AUSTIN, TX 78709-0698



CONFIRMATION NO. 3441

FORMALITIES LETTER



OC000000012926529

Date Mailed: 06/14/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$900** for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
 Commissioner for Patents
 P.O. Box 1450
 Alexandria VA 22313-1450

11/17/2004 ZJUHRT1 00000053 10812853
 01 FC:1001
 02 FC:1051
 790.00 BP
 130.00 BP

A copy of this notice **MUST** be returned with the reply.

John Ware

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY